



**FORM PL-1: INITIAL REGISTRATION
FOR CHARTIABLE FUNDRAISING PLATFORMS**

California Government Code section 12599.9
California Code of Regulations, title 11, section 315

Failure to timely file Form PL-1 may result in assessment of late fees (Gov. Code, § 12586.1)

PAY \$625 FEE AND COMPLETE FORM PL-1 AS A FILLABLE FORM VIA
THE ONLINE FILING SERVICE AVAILABLE ON THE ATTORNEY GENERAL'S
[CHARITABLE FUNDRAISING PLATFORMS WEB PAGE](#)

Do not submit Form PL-1 as a PDF file

(For Registry Use Only)

Part A. Initial Information

1. Is the applicant currently performing, permitting, or enabling solicitations to persons in California through a platform? If "Yes," when did this begin?

Yes (specify date)

No

2. Specify whether, for compensation, the applicant engages in any of the following charitable solicitations, currently or anticipates doing so, in this calendar year. Select all that apply. If none apply, only select "None."

Solicitation via telephone

Solicitation via direct mail (excluding electronic mail or messages)

Solicitation in-person (e.g., door-to-door, in a public space, at a fundraising event, through a vending machine or similar equipment that does not use a person to perform the solicitation)

Solicitation via print, radio, or television that occurs more than on an incidental basis

Solicitation involving donors receiving something of value, or a chance to win something of value, in connection with a donation

Solicitation involving estate planning

Solicitation for gifts-in-kind or noncash (excluding cryptocurrency)

None

Part B. Applicant Information

1. Official name of applicant (full legal name, e.g., exact name where legally formed)

2. Doing business as name(s)

3. Official address (do not use P.O. Box)

4. Mailing address (if different from official address)

5. Website address

6. Email address

7. Telephone number

8. Registration number as a trustee (if any)

9. Federal employer identification number (FEIN)

10. Contact person name and title (does not have to be person who signs form)

11. Contact person email address

12. Contact person telephone number



Part C. Registration Information

1. Legal form of applicant:

- For-profit corporation (including for-profit social purpose or benefit corporations) Sole proprietorship
- Charitable or nonprofit corporation Other (specify)
- Limited liability company

2. Jurisdiction in which legally formed (state, foreign country):

3. Jurisdiction entity identification number (e.g., corporation number assigned by the jurisdiction):

4. Specify the solicitation type(s) the registrant currently performs, permits, or enables, or anticipates performing, permitting, or enabling, for the calendar year registration is for. Select all that apply.

- Solicitation type A Solicitation type D
- Solicitation type B Solicitation type E
- Solicitation type C Other solicitations that are not types A to E

5. What types of platforms are used, or anticipated to be used, to perform, permit, or enable solicitations? Select all that apply and provide the additional information specified in parenthesis.

- Website(s) (specify address of home or landing web page for each domain name)
- Mobile device application(s) (specify name(s) for each application)
- Computer software application(s) (specify name(s) for each application)
- Other (describe the platform(s) and how platform users would access and use the platform)

6. Has the applicant been the subject of a government enforcement action? Answer "Yes" if any of the following apply:

- a. The applicant had a license, registration, or permit denied, cancelled, suspended or revoked, was assessed a fine or penalty, or had any official disciplinary or legal action taken against it by a government agency involving charitable fundraising or the use of charitable funds; or
- b. The applicant is the subject of a pending government enforcement action involving charitable fundraising or the use of charitable funds.

If "Yes," provide the name and website address of the government agency that brought each action, an explanation of each action and the status or resolution, the date each action was taken, and the date of resolution, if any. Also submit in one PDF file, a copy of the document initiating each action, the resolution, and any other document the applicant believes is necessary to understand each action or current status.

- Yes
- No

7. Has the applicant retained a platform charity or another charitable fundraising platform ("partner"), under which the partner assists or will assist the applicant in performing, permitting, or enabling solicitations on the applicant's charitable fundraising platform? If "Yes," provide the total number of partners. Also, for each partner provide its official name, registration number (if registered as a trustee), website address, and the date when the partnership began.

- Yes (specify the total number of partners)
- No

8. Is the applicant a partner to other charitable fundraising platform(s) because the applicant was retained by other charitable fundraising platform(s) to facilitate solicitations on their platforms pursuant to a contract? If "Yes," provide the total number of partnering platforms. Also, for each partnering platform provide its official name, registration number (if registered as a charitable fundraising platform), website address, and the date when the partnership began.

- Yes (specify the total number of partnering platforms)
- No



Part D. Signature

Having typed my name below and by submitting this form, I certify under penalty of perjury to the following: I have examined this form, including accompanying information (if any), and to the best of my knowledge and belief, the content thereof is true, correct, and complete; I understand an electronic signature has the same legal effect as a hand-written signature; and I am authorized to sign this form for the applicant.

Name and Signature of Authorized Agent

Title of Agent

Date

DEFINITIONS FOR FORM PL-1

“**Charitable fundraising platform**” is defined in Government Code section 12599.9, subdivision (a)(1).

“**Partner**” is defined in California Code of Regulations, title 11, section 314, subdivision (g).

“**Partnering platform**” is defined in California Code of Regulations, title 11, section 314, subdivision (h).

“**Partnership**” is defined in California Code of Regulations, title 11, section 314, subdivision (i).

“**Platform**” is defined in California Code of Regulations, title 11, section 314, subdivision (j).

“**Platform charity**” is defined in Government Code section 12599.9, subdivision (a)(5). A person or entity that meets the definition of both a platform charity and charitable fundraising platform is deemed a charitable fundraising platform. See Government Code section 12599.9, subdivision (b)(1).

“**Platform user**” is defined in California Code of Regulations, title 11, section 314, subdivision (k).

“**Solicitation**” is defined in Government Code section 12581.2.

“**Solicitation type A**” is defined in California Code of Regulations, title 11, section 314, subdivision (m).

“**Solicitation type B**” is defined in California Code of Regulations, title 11, section 314, subdivision (n).

“**Solicitation type C**” is defined in California Code of Regulations, title 11, section 314, subdivision (o).

“**Solicitation type D**” is defined in California Code of Regulations, title 11, section 314, subdivision (p).

“**Solicitation type E**” is defined in California Code of Regulations, title 11, section 314, subdivision (q).



Office of the Attorney General
Registry of Charitable Trusts
Privacy Notice
As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The Attorney General's Registry of Charitable Trusts (Registry), a part of the Public Rights Division, collects the information requested on this form as authorized by the Supervision of Trustees and Fundraisers for Charitable Purposes Act (Gov. Code § 12580 et seq.) and regulations adopted pursuant to the Act (Cal. Code Regs., tit. 11, § 300 et seq.). The Registry uses the information in the administration and enforcement of the Act, including to register, renew, or update your organization's registration or to prepare reports pursuant to the Act. The Attorney General may also use the information for additional purposes, including in support of investigations and law enforcement actions, providing public access to information as required by the Act (Gov. Code §§ 12587, 12587.1, 12590), and making referrals to other law enforcement agencies. Any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The Department of Justice's general privacy policy is available at www.oag.ca.gov/privacy-policy.

Providing Personal Information. All the personal information requested in the form must be provided. An incomplete submission may result in the Registry not accepting the form, and cause your organization to be out of compliance with legal requirements to operate in California.

Access to Your Information. The completed form is a public filing that will be made available on the Attorney General's website at www.oag.ca.gov/charities pursuant to the public access requirements of the Act. You may review the records maintained by the Registry that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

Possible Disclosure of Personal Information. In order to process the applicable registration, renewal, registration update, application, or report, we may need to share the information on this form with other government agencies. We may also share the information to further an investigation, including an investigation by other government or law enforcement agencies. In addition, the information is available and searchable on the Attorney General's website.

The information provided may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes;
- To another government agency consistent with state or federal law.

Contact Information. For questions about this notice or access to your records, contact the Registrar of Charitable Trusts, 1300 I Street, Sacramento, CA 95814 at rct@doj.ca.gov.